

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Offices Address COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspusgov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/521,619 Dale R Du Bois A-71748/MSS (463035-770)

> INTERNATIONAL APPLICATION NO. PCT/US03/21575

32940 **DORSEY & WHITNEY LLP** 555 CALIFORNIA STREET, SUITE 1000 **SUITE 1000** 

I.A. FILING DATE PRIORITY DATE 07/10/2003 07/15/2002

**CONFIRMATION NO. 2146** 

SAN FRANCISCO, CA 94104-1513 File \_\_\_\_\_ Due Date

**371 FORMALITIES LETTER** 

'OC000000016445449'

Date Mailed: 07/05/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/14/2005
- Copy of the International Search Report filed on 01/14/2005
- Oath or Declaration filed on 01/14/2005
- Request for Immediate Examination filed on 01/14/2005
- U.S. Basic National Fees filed on 01/14/2005
- Priority Documents filed on 01/14/2005
- Specification filed on 01/14/2005
- Claims filed on 01/14/2005
- Abstracts filed on 01/14/2005
- Drawings filed on 01/14/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

### KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.	
10/521,619	PCT/US03/21575	A-71748/MSS (463035-770)	

FORM PCT/DO/EO/905 (371 Formalities Notice)

#### 10/521,619 Application No. TRANSMITTAL January 14, 2005 Filing Date First Named Inventor Dale R. Du Bois **FORM Examiner Name** To be Assigned (to be used for all correspondence after initial filing) To Be Assigned Group Art Unit A-71748/MSS (463035-770) Total Number of Pages in This Submission 110 Attorney Docket No. **ENCLOSURES** (check all that apply) After Allowance Communication to **Assignment Papers** M Fee Transmittal Form (for an Application) (3 pgs.) Group Appeal Communication to Board Drawing(s) (Figs. ) and Fee Attached - check for \$2,160.00 Cover Sheet to Draftsperson of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition for Extension of After Final **Proprietary Information** Time – 5 Months (1 pg.) Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application **Executed Power of Attorney** Other Enclosure(s) **Extension of Time Request** (1 pg.) (please identify below): Petition under 37 CFR 1.47 with Exhibits A, B, and C; Statement of **Express Abandonment Request** Terminal Disclaimer Facts Petition; Copy of Notice to File Missing Parts mailed July 5, 2005 (2 pgs.), check in the amount Request for Refund Information Disclosure Statement of \$2,160.00, and self-addressed stamped Postcard. Certified Copy of Priority CD, No. of CD(s) Document(s) Remarks Response to Missing Parts/ X Incomplete Application Response to Missing Parts under 37 CFR 1.53(b) (15 pgs. in counterparts) SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Maria S. Swiatek, Esq., Reg. No. 37,244 DORSEY & WHITNEY LLP 555 California Street, Suite 1000 San Francisco, CA 94104-1513 Firm Telephone: 650-857-1717 **Customer Number 32940** Facsimile: 650-857-1*2*/88 Individual name Signature Date February 6, 2006 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with Sufficient postage as first class mail in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, 02/06/06 VA 22313-1450 on this date: Kari Bateman Aquiar Typed or printed name Date February 6, 2006 Signature

# APPLICATION FEE TRANSMITTAL SHEET (FY 2005)

	Complete if Known			
Application No.	10/521,619			
Filing Date	January 14, 2005			
First Named Inventor	Dale R. Du Bois			
Group Art Unit	To Be Assigned			
Examiner Name	To Be Assigned			
Attv. Docket Number	A-71748/MSS (463035-770)			

			Examiner	ivanie		10 Be Assigned	
Atty. Dock				ket Number A-71748/MSS (463035-770)			
METHOD OF PAYMENT (Check One)				FEE CALCULATION (Continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit Account No.: 50-2319  Deposit Account Name: DORSEY & WHITNEY LLP  Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 to:			Large Entity Fee	Small Entity Fee		Fee paid	
Deposit A	oplicant clair	ne: DORSEY & WHITNEY LLP ns small entity status (see 37 C.F.R. 1.27)		50	25	Surcharge - late provisional filing fee or cover sheet Surcharge - Late non-provisional filing	
2. ⊠ C	heck Enclos	ed in the amount of <u>\$1,190.00</u>		130	65	fee or oath	
		FEE CALCULATION		180	180	Submission of IDS	
Large	e Sma	1. BASIC FILING FEE		40	40	Recording each patent assignment per property (times number of properties)	
Entity Fee		y Fee Description		120	60	Extension for reply within first month	
160	80	☐ Provisional Filing Fee		450	225	Extension for reply within second month	
790	395	i ☐ Utility Filing Fee		1,020	510	Extension for reply within third month	
790	350	U Othicy Filing Fee	,	1,590	795	Extension for reply within fourth month	
350	175	Design Filing Fee	İ	2,160	1,080	Extension for reply within fifth month	\$2,160.00
790	399	Reissue Filing Fee		770	385	Submission After Final 1.129	
130	<b>J3</b> .			330	165	Notice of Appeal	
		Subtotal (1)		330	165	Filing a brief in support of an appeal	
O EVEN CLASS STO			290	145	Request for oral hearing		
	Number	2. EXTRA* CLAIM FEES  Fee from		110	55	Terminal Disclaimer Fee	
	Claims	Prior Extra Below*	e Paid	130	130	Petitions to the Commissioner	
Total		= x =		50	50	Petitions related to provisional applications	
Indep.	-	× =					
Multiple Dependent Claims = x =			1,330	665	Utility/Reissue Issue Fee (including advance copies)		
		Subtotal (2)		480	240	• • • • • • • • • • • • • • • • • • • •	
l ====	Consti	*Calculation of Extra Claim Fees		770	385	Request for Continued Examination (RCE)	
Large Entity Fee	Small Entity Fee	Fee Description		300	300	Publication fee for early voluntary or	
50	25	Claims in excess of 20		300	300	Publication fee for re-publication	
200	100	Independent claims in excess of 3		110	55	Petition to Revive – unavoidable	
360	180	Multiple dependent Claim	!	1,330	665	Petition to Revive – unintentional	
200	100	Reissue independent claims over original p	patent	OTHER FEE (specify): Additional Claim Fee			
50	25	Reissue claims in excess of 20 and over original patent		Subtotal (3)			\$2,160.00
20 and 0401 original patent				Total Amount of Payment:			\$2,160.00
Submitte	ed by:						

Name: Maria S. Swiatek, Reg. No. 37,244

DORSEY & WHITNEY LLP

Signature:

Telephone: (650) 857-1717

Customer Number 32940

Date: February 6, 2006